

# SAGRILAFT



## Self-Control system and Integral Risk Management of AML/TF/FPWMD

### 1. What is it?

It is the System of Self-Monitoring and Management of Integral Risk implemented by our company to prevent the risks of:



Anti-Money Laundering (AML)



Financing of Terrorism (FT)



Financing of the Proliferation of Weapons of Mass Destruction (FPWMD)

### 2. Who is it applicable to?

Knowing and implementing it is mandatory for every counterparty (areas and company employees, suppliers and customers)



### 3. Reporting Channels



Any employee or counterparty who becomes aware about any situation taking place associated to Anti-Money Laundering, Financing of Terrorism or Financing of the Proliferation of Weapons of Mass Destruction must report it immediately to the Compliance officer through the e-mail:



[oficialdecumplimientosagrilaft@cisnf.com](mailto:oficialdecumplimientosagrilaft@cisnf.com)

### 4. Regulatory Agency

The Superintendency of Corporations has established certain requirements or characteristics that require the companies of these economic sectors to implement SAGRILAFT.



#### 4.1 Example of warning flags:

·A supplier who offers goods or services at prices that are considerably different from market prices.



#### 4.2 The company might be affected in case



- An application of affiliation is processed without processing all of the requirements established.
- Any suspicious action or operation is not reported to the compliance officer.
- Cash payments are received. The information supplied is not analyzed.